Practitioner's Docket No. 97CR159/KE

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

C. David Young, James A. Stevens

Application No.: 09/303,802

Group No.: 2662

Filed: 04/30/1999

Examiner: D. Odland

For: Clique Activation Multiple Access (CAMA)

Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL OF APPEAL BRIEF (PATENT APPLICATION-37 C.F.R. § 1.192)

- 1. Transmitted herewith, in triplicate, is the APPEAL BRIEF in this application, with respect to the Notice of Appeal filed on February 17, 2003.
- 2. STATUS OF APPLICANT

This application is on behalf of other than a small entity.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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TRANSMISSION

□ facsimile transmitted to the Patent and Trademark Office, (703)

Date: April 17, 2003

Sheila K. Mathews

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^{*} Only the date of filing (* 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under 1.8 continues to be taken into account in determining timeliness. See 1.703(f). Consider "Express Mail Post Office to Addressee " (' 1.10) or facsimile transmission (' 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.



3. FEE FOR FILING APPEAL BRIEF

Pursuant to 37 C.F.R. § 1.17(c), the fee for filing the Appeal Brief is:

other than a small entity

\$320.00

Appeal Brief fee due \$320.00

4. EXTENSION OF TERM

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

5. TOTAL FEE DUE

The total fee due is:

Appeal brief fee Extension fee (if any) \$320.00

\$0.00

TOTAL FEE DUE \$320.00

FEE PAYMENT

Authorization is hereby made to charge the amount of \$320.00 to Deposit Account No. 18-1722.

A duplicate of this transmittal is attached.

7. FEE DEFICIENCY

If any additional extension and/or fee is required, and if any additional fee for claims is required. charge Deposit Account No. 18-1722.

Reg. No.: 41,460

Tel. No.: 319-295-1184

Customer No.: 26383

Nathan O. Jensen Rockwell Collins

Intellectual Property Department M/S 124-323

400 Collins Road NE Cedar Rapids, IA 52498

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

§ §

In re Application of: Charles D. Young

and James E. Stevens

Serial No: 09/303,802

Filed: April 30, 1999

Group Art Unit: 2662

For: Clique Activation Multiple Access

(CAMA)

Examiner: D. Odland

(703) 305-3231

Attorney Docket:

97CR159/KE

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Date of Deposit April 17, 2003

Assistant Commissioner for Patents

Washington, DC 20231

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Sheila K. Mathews

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APPLICANT'S BRIEF ON APPEAL TO THE BOARD

Nathan O. Jensen Reg. No. 41,460

Rockwell Collins Inc. Intellectual Property Department 400 Collins Road NE, M/S 124-323 Cedar Rapids, IA 52498 Telephone (319) 295-1184 Facsimile (319) 295-8777

ATTORNEY FOR APPLICANTS

Table of Contents

1.	REAL PARTY IN INTEREST	İ
2.	RELATED APPEALS AND INTERFERENCES	2
3.	STATUS OF CLAIMS	2
4.	STATUS OF AMENDMENTS	2
5.	SUMMARY OF THE INVENTION	2
6.	ISSUES	5
7.	GROUPING OF CLAIMS	5 .
_8	ARGUMENTS FOR REVERSAL OF THE ENABLEMENT REJECTION	<u>, </u>
9.	ARGUMENTS FOR REVERSAL OF THE ANTICIPATION REJECTION9	
10.	CONCLUSION OF ARGUMENTS13	ł
11.	APPENDIX 1: CLAIMS ON APPEAL	
12.	APPENDIX 2: PROPOSED AMENDMENTS TO CLAIMS ON APPEAL	

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APPLICANT'S BRIEF ON APPEAL TO THE BOARD

This is an appeal from the final rejection of the Examiner dated September 17, 2002, rejecting all of the claims in the case. This Brief is accompanied by the requisite fee set forth in §1.17(c).

REAL PARTY IN INTEREST

The real party in interest in this appeal is the assignee, Rockwell Collins Inc.

RELATED APPEALS AND INTERFERENCES

The application on appeal is not subject to, or an element in, any other appeal or interference proceeding within the U.S. Patent and Trademark Office.

STATUS OF CLAIMS

Claims 1-2 and 20-29 are pending, have been finally rejected, and are all on appeal.

STATUS OF AMENDMENTS

On July 9, 2002, Amendment A was entered. Amendment B, which was filed December 17, 2002 after the Examiner issued a Final Rejection, was not entered. Amendment C, filed concurrently with this Appeal Brief, has not yet been entered.

SUMMARY OF THE INVENTION

Now referring to Figure 4 and, and generally to pages 6 through 11 of the specification, and generally speaking, and without prejudice to the scope of the claims, the invention in the claims on appeal relates to: a plurality of transmitters (p. 6 lines 1-4) capable of forming a communications network, in which each transmitter is a node. Each node directly communicates with certain of the other nodes, but does not directly communicate with other nodes in the network. To transmit packets of information between nodes that do not communicate directly with each other, it is necessary to transmit the packets through intermediate nodes, beginning

with nodes that are neighbors of the transmitting node. In Figure 1, for instance, packets transmitted from node 8 to node 2 would need to be transmitted to a neighbor of node 8 (such as node 1), then to a neighbor of node 1 (such as node 3), and then to node 2. Of course, other paths through different neighbors of node 8 could also be used (8-7-6-5-4-3-2, for instance).

There is typically a limited amount of time slots to broadcast data, and the time slots must therefore somehow be shared between the nodes in an efficient manner. The invention provides a method for automatically managing the communication channel resources between two transceiver nodes having neighboring transceiver nodes in a network of transceiver nodes (such as 2 and 10 in Figure 4), wherein each node communicates during specific time slots and uses multiple frequencies on a time multiplex basis. According to the method, possible communication time slots and frequencies between nodes in the network are stored at each transceiver node. Each node is assigned to at least one of a plurality of cliques (p. 6 lines 7-8). Each of the plurality of cliques consists of a plurality of nodes that are positioned to directly communicate with each other (p. 6 lines 3-5 and p. 6 line 26 through p. 7 line 2; see also Figure 4). Multiple transceiver nodes in a clique utilize the same time slot for transmitting (p. 6 lines 2-4). The transceiver nodes within a clique take turns transmitting within a shared time slot.

The step of assigning each node to at least one of a plurality of cliques can include: identifying one of the nodes, compiling a first list of nodes that directly communicate with the identified node, and for each node in the first list, compiling a second list of nodes that directly communicate the node in the first list. A clique is then defined as the identified node, a node from the first list, and a node from the second list that directly communicates with the previous

two nodes (p. 6 line 26 - page 7 line 2). All possible cliques to which the identified node belongs to are identified by repeating these steps until all possible combinations of nodes have been explored (Figures 6 and 7; page 7 lines 10-15). The cliques to which every node in the network belongs may be determined by repeating the previous steps for each node.

Time slots for each clique are chosen (p. 9 line 19-28) according to a hierarchy wherein:

- a) cliques having a node that is a member of only one clique are first assigned time slots (p. 10 line 24);
- b) cliques having at least as many neighboring cliques as any neighboring clique are next assigned time slots (p. 10 lines 25-26);
- c) cliques having two or more neighbors that were assigned time slots in steps (a) and (b) above are next assigned time slots (p. 10 line 27);
- d) cliques having two or more neighbors that were assigned time slots in step (a) above are next assigned time slots (p. 10 line 28);
- e) cliques having a node that is not included in a clique that has previously been assigned a time slot are next assigned time slots (p. 10 line 29); and
 - f) cliques that have not yet been assigned a time slot are assigned time slots (p. 11 line 1).

ISSUES

The issues on appeal are as follows:

ISSUE 1 - INDEFINITENESS

Whether claims 20-22 and 24-29 are unpatentable under 35 U.S.C. §112, second paragraph as being indefinite.

ISSUE 2 - OBVIOUSNESS

Whether the subject matter of claims 1, 2 and 23 are unpatentable under 35 U.S.C. §103(a) as being anticipated by the Young (5,719,868) reference.

GROUPING OF CLAIMS

For ISSUE 1

Group A includes claims 20-22.

Group B includes claim 24.

Group C includes claims 25-29.

For ISSUE 2

Group D includes claim 1.

Group E includes claim 2.

Group F includes claim 23.

ARGUMENTS FOR REVERSAL OF THE INDEFINITENESS REJECTION

The Examiner has rejected claims 20-22 and 24-29 as being unpatentable under 35 U.S.C. §112, second paragraph for being indefinite. The Applicants have grouped the claims into Group A, Group B and Group C under this rejection. To reduce the number of issues on appeal, Applicants have submitted concurrently herewith an Amendment that addresses the Examiner's indefiniteness rejections to each of the claim groupings. For convenience, these amendments to the claims are included herewith in Appendix 2. Each of Groups A – C will now be discussed in turn.

- Group A: In the Final Rejection dated September 17, 2002, The Examiner rejected claim 20, asserting step (d) is confusing. Step (d) of claim 20 reads:
 - (d) including within a clique with said one of the nodes
 - a node in said first group of nodes, and
- a node in said second group of nodes that communicates directly with said one of the nodes node and with said node in said first group of nodes.

The Examiner stated that "it is unclear whether plural groups of nodes make up a clique and also unclear which node(s) of which group(s) of which clique(s) are directly communicating."

P.15

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE BOARD OF PATENT APPEALS AND **INTERFERENCES**

As explained in Applicant's specification, a clique is a group of transmitters, or nodes, that are all neighbors of each other (p.6 lines 2-5). One way to define a clique is described at page 6 line 26 to page 7 line 2 of Applicants' specification:

Cliques can be created using a list of neighbors and a list of each neighbor's neighbors. To generate the cliques that a node is a member of, a node must consider all combinations of its node identification (id)...with those ids of its neighbors (using its neighbor list) and the node must examine each combination for complete connectedness (using each neighbor's list of neighbors).

This method of defining a clique is echoed in Applicants' claim 20, in which a node (the node identified in step (a)), a list of the node's neighbors (the first group of nodes), and each neighbor's list of neighbors (the second group of nodes) are examined for complete connectedness (direct communication with each other).

Applicants agree that some of the language in claim 20 is somewhat confusing, and in the Amendment submitted concurrently herewith, applicants amended claim 20 to eliminate such confusion. Specifically, claim 20 now recites that the method: identifies a node in step (a); identifies a first group of nodes that directly communicate with the node identified in step (a): identifies, for each node in the first group of nodes, a second group of nodes, wherein each of the second group of nodes communicates directly with its respective node in the first group of nodes; and include within a clique the node identified in step (a), a node in the first group of nodes, and a node in the second group of nodes that communicates directly with the node identified in step (a) and with the node from the first group of nodes. It is believed that by defining the clique as based upon the node identified in step (a), it is clear that a clique is defined, in claim 20, as searching (1) a node's neighbors, and (2) the neighbor's neighbors, for complete connectedness,

as explained in Applicant's specification. A clique is therefore made up of nodes selected from the first and second groups of nodes that directly communicate with each other and with the node selected in step (a) of claim 20.

Claims 21 and 22 were rejected under 35 U.S.C. § 112, second paragraph, as depending from claim 20. However, with the above amendment and explanation, the Examiner's rejection of claim 20 should be withdrawn, and the rejection to claims 21 and 22 should therefore be withdrawn as well.

Group B: The Examiner rejected claim 24 as being confusing "because it recites a step (f) while its parent claim (claim 1) does not recite any earlier steps, namely (a) – (e)." The Examiner is in error: Applicant disagrees that such a naming of steps is confusing. Method steps recited in claims 24-27 are named (f), (g) and (h) to differentiate these steps from steps (a) – (e) recited in claims 20-22. Naming the steps (f) (g) and (h) does not necessarily imply that previous steps are required, or that the steps (a)-(e) in claims 20-22 are implicitly included in claim 24. However, in the interest of reducing issues on appeal, in the concurrently filed Amendment Applicants have amended claims 24-27 to replace (f)-(h) with (a)-(c), respectively. Such amendment, if accepted, would remove the Examiner's indefiniteness rejection of claim 24 and of claims 25-29, which depend directly or indirectly from claim 24.

Group C: The Examiner rejected claim 25, asserting that "[i]t is unclear what is meant by 'at least as many neighboring clique as any neighboring clique." A method of assigning time slots to cliques to minimize the number of slots required to accommodate communications

between nodes is described beginning at page 10 line 16. According to the method (page 10 lines 19-22):

The idea is to first assign slots to cliques that have an isolated node on the edges of the network, then to assign slots to the most richly connected cliques in the interior, and then to assign slots to cliques that bridge these.

(Italics added for emphasis) The specification describes how this idea is implemented by outlining six ordered steps or conditions that the slot assignment method goes through. The second of the ordered conditions (page 10 lines 25-26) is that a clique is assigned a time slot if it has "more or an equal amount of neighboring cliques as any neighboring clique." In other words, a clique is assigned a time slot at this point in the assignment hierarchy if the clique is "richly connected" to other cliques in the interior of the network.

Applicants have amended claim 25 to recite that "cliques having as many neighboring cliques as any neighboring clique are next assigned time slots." The amendment changes the word "clique" to the italicized "cliques," thereby clarifying the step. As it is now clear what is meant by this claim, the Examiner's rejection thereto (and to claims 26-29, which depend therefrom) should be withdrawn.

ARGUMENTS FOR REVERSAL OF THE OBVIOUSNESS REJECTION

The Applicants have grouped the claims into Group A, Group B and Group C under this rejection. Each of these Groups will be discussed in turn.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

Group D: The Examiner rejected claim I based on the Young reference.

Independent claim 1 contains the following limitations:

storing possible communication time slots and frequencies between nodes in the network at each transceiver node; and

assigning each node to at least one of a plurality of cliques, wherein each of the plurality of cliques consists of a plurality of nodes that are positioned to directly communicate with each other, wherein multiple transceiver nodes in a clique utilize the same time slot for transmitting.

The Examiner cites Young's grouping of nodes into neighborhoods, which the Examiner concedes "consist of multiple hops," as a basis for Applicants' claimed "cliques." In fact, the neighborhoods of Young consist of nodes within line-of-sight or one hop of a transmitter (col. 1 lines 19-21). The Examiner concedes that the neighborhoods of Young, as defined therein, are not the cliques as defined in Applicant's claim 1. However, the Examiner concludes it would be obvious for Young to only include nodes in a neighborhood that directly communicate with each other because it is "well known in the art that communication between nodes of a network consisting of a small number of hops operate faster than a network consisting of a larger number of hops." The Examiner is in error. It is arguable whether increasing or decreasing the number of hops within the neighborhoods of Young would make for quicker communication between nodes. However, even if Young could be modified in such a manner, Applicants' claimed invention could not be arrived at using Young as a reference. In the Final Rejection to Applicants' claim 1, the Examiner failed to discuss a limitation of claim 1, and did not show. how that limitation was met, addressed, or rendered obvious by Young. Specifically, the

Examiner failed to address Applicants' limitation in claim 1 that "multiple transceiver nodes in a clique utilize the same time slot for transmitting." It is understandable why the Examiner failed to address this limitation: Young does not teach or disclose that nodes within its neighborhoods use the same time slot for transmitting; in fact, Young teaches quite the opposite. Young discloses a communications method that uses the neighborhoods of two nodes to prevent conflicts in communicating between two nodes (Column 1 lines 60-66), where each node in the network is assigned a slot (Column 2 lines 30-32). In contrast, it is groups of nodes- the 'cliques' of Applicants' claim 1- that use the same time slot for transmitting. Merely reducing the number of hops in Young's 'neighborhoods' would not lead one of ordinary skill in the art to Applicants' use of the same slot for the nodes within such a neighborhood, as recited in Applicants' claim 1. Because Young fails to disclose and teaches away from Applicants' cliques using the same time slot for transmitting, and because the Examiner failed to discuss this limitation, claim 1 is allowable. All claims depending therefrom are also allowable.

Group E: The Examiner rejected claim 2 based upon the Young reference. The Examiner asserts that "Young discloses that the nodes within a clique take turns transmitting within a shared time slot (each node shares a broadcast time slot, in which each uses for control packets [see column 2 lines 26-35])." The Examiner is in error. Column 2 lines 26-35 of Young reads as follows:

Referring to FIG. 2, a time division multiple access structure for use with the present method is illustrated. A cycle 20 includes N frames 22. Each frame 22 consists of M slots 24, the first slot 24a is a broadcast slot for network manager control packets. A broadcast slot 24a is assigned to each node in the network, so if there are N nodes, each node has an opportunity to

transmit its control packet once every N frames which represents a cycle 20. Additionally, associated with each frame 22 are multiple frequency channels 26.

The Examiner has incorrectly concluded what is disclosed by Young. Contrary to the Examiner's assertions, Young does not disclose that each node shares a broadcast time slot, and Young does not disclose that the nodes within a clique (Young discloses neighborhoods, not cliques) take turns transmitting within a shared time slot. Claim 2 is therefore allowable.

Group F: The Examiner rejected claim 23 based on Young, asserting that "Young discloses choosing time slots for each clique (identifying, in tables, the transmit time slot for each node in a neighborhood [see claim 1])." The Examiner is in error because Young does not disclose choosing time slots for each clique. The process of identifying the transmit time slot for each node in a neighborhood (as in Young) is a node-by-node assignment, which is different from choosing time slots for groups of nodes (such as Applicants' clique). The efficiency of the present invention is that using the concept of cliques, multiple nodes may be assigned time slots where previously, as in Young, only individual nodes are assigned time slots.

The Examiner's rejection of claim 23, and the characterization of Young to effect said rejection, is inconsistent with previous rejections. In the rejection of claim 1, the Examiner equated Applicants' "cliques" with the "neighborhoods" of Young; however, in the rejection of claim 23, the Examiner equates Applicants' assigning transmit time slots for cliques with Young's assigning time slots for nodes. This inconsistent characterization of the Young reference is further evidence that Young cannot be used to sustain an obviousness rejection over Applicants' claims.

CONCLUSION OF ARGUMENTS

Because the Examiner (1) failed to discuss a limitation of claim 1, and did not show that limitation was met, addressed, or rendered obvious by Young, (2) incorrectly concluded what was disclosed by Young to meet the recitations of claim 2, and (3) Young does not disclose choosing time slots for each clique as recited in claim 23, the Examiner's rejection of obvioussness based upon Young should be overturned.

Respectfully Submitted,

17 Apr 2003

Date

Nathan O. Jensen

Attorney for Applicant

Reg. No. 41,460

Rockwell Collins Inc.
Intellectual Property Department
400 Collins Road NE M/S 124-323
Cedar Rapids, IA 52498

Telephone: (319) 295-1184 Facsimile No. (319) 295-8777



APPENDIX 1: CLAIMS ON APPEAL

The following is the state of the claims as currently pending in the application. Appendix 2 contains a listing of claims 20 and 24-27 as those claims would be amended if the Amendment, submitted concurrently with this Appeal Brief, were entered.

1. A method for automatically managing the communication channel resources between two transceiver nodes having neighboring transceiver nodes in a network of transceiver nodes, wherein each node communicates during specific time slots and uses multiple frequencies on a time multiplex basis, the method comprising:

storing possible communication time slots and frequencies between nodes in the network at each transceiver node; and

assigning each node to at least one of a plurality of cliques, wherein each of the plurality of cliques consists of a plurality of nodes that are positioned to directly communicate with each other, wherein multiple transceiver nodes in a clique utilize the same time slot for transmitting.

2. The method of claim 1 wherein the transceiver nodes within a clique take turns transmitting within a shared time slot.

- 20. The method of claim 1, wherein the assigning step for each node comprises:
 - (a) identifying one of the nodes;
- (b) identifying a first group of nodes, said first group of nodes comprising any nodes that directly communicate with said one of the nodes;
- (c) for each node in the first group of nodes, identifying a second group of nodes, said second group of nodes comprising any nodes that directly communicate with said each node in the first group of nodes; and
 - (d) including within a clique with said one of the nodes

a node in said first group of nodes, and

a node in said second group of nodes that communicates directly with said one of the nodes node and with said node in said first group of nodes.

- 21. The method of claim 20, further comprising:
- (e) identifying all possible cliques to which said one of the nodes belongs by repeating steps (b), (c), and (d) until all possible combinations of nodes have been explored.

2	22.	The method	of claim	21,	further	comprising	repeating	steps	(a),	(b), ((c),
(d) and ((e) for	each node in t	he netwo	rk o	f nodes.						

- 23. The method of claim 1, further comprising choosing time slots for each clique.
- 24. The method of claim 23, wherein the step of choosing time slots comprises assigning time slots to the cliques according to a hierarchy wherein:
- (f) cliques having a node that is a member of only one clique are first assigned time slots.
 - 25. The method of claim 24, wherein:
- (g) cliques having at least as many neighboring clique as any neighboring clique are next assigned time slots.
 - 26. The method of claim 25, wherein:

- (h) cliques having two or more neighbors that were assigned time slots in steps (f) and (g) are next assigned time slots.
- 27. The method of claim 26, wherein cliques having two or more neighbors that were assigned time slots in step (f) are next assigned time slots.
- 28. The method of claim 27, wherein cliques having a node that is not included in a clique that has previously been assigned a time slot are next assigned time slots.
- 29. The method of claim 28, wherein cliques that have not yet been assigned a time slot are assigned time slots.

APPENDIX 2: PROPOSED AMENDMENTS TO CLAIMS ON APPEAL

The following reflects changes to claims 20 and 24-27 as proposed in the Amendment concurrently submitted herewith. Insertions have been underlined and deletions have been bracketed.

- 20. (Once Amended) The method of claim 1, wherein the assigning step for each node comprises:
 - (a) identifying one of the nodes;
- (b) identifying a first group of nodes, said first group of nodes comprising any nodes that directly communicate with [said one of] the [nodes] node identified in step (a);
- (c) for each node in the first group of nodes, identifying a second group of nodes, said second group of nodes comprising any nodes that directly communicate with said each node in the first group of nodes; and
- (d) including within a clique with [said one of] the [nodes] node identified in step

 (a)
 - a node in said first group of nodes, and
 - a node in said second group of nodes that communicates directly with [said one of] the [nodes] node identified in step (a) and with said node in said first group of nodes.

- 24. (Once Amended) The method of claim 23, wherein the step of choosing time slots comprises assigning time slots to the cliques according to a hierarchy wherein:
- ([f]a) cliques having a node that is a member of only one clique are first assigned time slots.
 - 25. (Once Amended) The method of claim 24, wherein:
- ([g]b) cliques having at least as many neighboring [clique] cliques as any neighboring clique are next assigned time slots.
 - 26. (Once Amended) The method of claim 25, wherein:
- ([h]c) cliques having two or more neighbors that were assigned time slots in steps ([f]a) and ([g]b) are next assigned time slots.
- 27. (Once Amended) The method of claim 26, wherein cliques having two or more neighbors that were assigned time slots in step ([f]a) are next assigned time slots.

IN RE APPLICATION OF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

) GROUP ART UNIT: 2662

C. David Young)	EXAMINER: D. Odland
SERIAL NO: 09/303,802)	DOCKET REF.: 97CR159/KE
FILED: April 30, 1999)	SUBMITTED: April 17, 2003
FOR: Clique Activation Multiple Acc	cess (CAMA)
AM	ENDMENT C
Box: AF Hon. Commissioner of Patents and Washington, D.C. 20231	Trademarks
Dear Sir:	
Responsive to the Advisory Action I	mailed on January 17, 2003, please consider
the following.	
IN THE CLAIMS:	
Please amend claims 20 and 24-27	as follows:
	Express Mail Mailing Label EV 214496727 US Date of Deposit April 17, 2003 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. \$1.10 on the date indicated above and is addressed to the Commissioner of Patents, Box Patent Application, Washington, D.C. 20231. Sheala K. Mathews Typed or printed name of person mailing paper or fee (Signature of person mailing paper or fee)



- 20. (Once Amended) The method of claim 1, wherein the assigning step for each node comprises:
 - (a) identifying one of the nodes;
- (b) identifying a first group of nodes, said first group of nodes comprising any nodes that directly communicate with the node identified in step (a);
- (c) for each node in the first group of nodes, identifying a second group of nodes, said second group of nodes comprising any nodes that directly communicate with said each node in the first group of nodes; and
 - (d) including within a clique with the node identified in step (a)
 - a node in said first group of nodes, and
 - a node in said second group of nodes that communicates directly with the node identified in step (a) and with said node in said first group of nodes.
- 24_(Once_Amended) The method of claim 23, wherein the step of choosing time slots comprises assigning time slots to the cliques according to a hierarchy wherein:
- cliques having a node that is a member of only one clique are first assigned time slots.
 - 25. (Once Amended) The method of claim 24, wherein:
- (b) cliques having at least as many neighboring cliques as any neighboring clique are next assigned time slots.
 - 26. (Once Amended) The method of claim 25, wherein:
- cliques having two or more neighbors that were assigned time slots in steps (a) and (b) are next assigned time slots.

27. (Once Amended) The method of claim 26, wherein cliques having two or more neighbors that were assigned time slots in step (a) are next assigned time slots.

REMARKS

Applicants thank the Examiner for issuing the Advisory Action dated January 17, 2003. Regarding the rejections set forth by the Examiner, applicants request reconsideration of the application in light of the remarks contained herein.

Applicants have filed an appeal on the Examiner's rejections, and an Appeal Brief has been filed concurrently with this Amendment. With respect to the Examiner's rejection of certain of the claims under 35 U.S.C. § 112, second paragraph, it is thought most proper to request the Examiner approve certain amendments to said claims, to thereby reduce the issues on appeal. It is believed these amendments satisfy the requirements of 35 U.S.C. § 112, second paragraph, and that entry of the amendments will place the affected claims in allowable form. Applicants have responded fully to the Examiner's 35 U.S.C. § 103(a) rejections of claims 1, 2 and 23 in the Appeal Brief, and will not be addressed in this Amendment.

The Examiner rejected claims 20-22 and 24-29 under 35 U.S.C. § 112, second paragraph, asserting that these claims were indefinite. Applicants have amended claims 20, 24 and 25, which the Examiner singled out as containing indefinite language. These claims have been amended to more particularly point out and distinctly claim what applicants regard as the invention. No new matter has been entered by this amendment. A marked-up version of the claims, showing changes made thereto, is attached to this Response.

Claim 20: The Examiner rejected claim 20, asserting step (d) is confusing. Step (d) of claim 20 reads:

(d) including within a clique with said one of the nodes

a node in said first group of nodes, and

a node in said second group of nodes that communicates directly with said one of the nodes node and with said node in said first group of nodes.

The Examiner stated that "it is unclear whether plural groups of nodes make up a clique and also unclear which node(s) of which group(s) of which clique(s) are directly communicating."

As explained in Applicant's specification, a clique is a group of transmitters, or nodes, that are all neighbors of each other (p.6 lines 2-5). One way to define a clique is described at page 6 line 26 to page 7 line 2 of Applicants' specification:

Cliques can be created using a list of neighbors and a list of each neighbor's neighbors. To generate the cliques that a node is a member of, a node must consider all combinations of its node identification (id)...with those ids of its neighbors (using its neighbor list) and the node must examine_each_combination_for_complete_connectedness_(using_eachneighbor's list of neighbors).

This method of defining a clique is echoed in Applicants' claim 20, in which a node (the node identified in step (a)), a list of the node's neighbors (the first group of nodes), and each neighbor's list of neighbors (the second group of nodes) are examined for complete connectedness (direct communication with each other).

Applicants agree that some of the language in claim 20 is somewhat confusing, and applicants have therefore amended claim 20 to eliminate such confusion. Specifically, claim 20 now recites that the method: identifies a node in step (a); identifies a first group of nodes that directly communicate with the node identified in step (a); identifies, for each node in the first group of nodes, a second group of nodes, wherein each of the second group of nodes communicates directly with its respective node in the first group of nodes; and include within a clique the node identified in step (a), a node in the first group of nodes, and a node in the second group of nodes that communicates directly with

the node identified in step (a) and with the node from the first group of nodes. It is believed that by defining the clique as based upon the node identified in step (a), it is clear that a clique is defined, in claim 20, as searching (1) a node's neighbors, and (2) the neighbor's neighbors, for complete connectedness, as explained in Applicant's specification. A clique is therefore made up of nodes selected from the first and second groups of nodes that directly communicate with each other and with the node selected in step (a) of claim 20.

Claims 21 and 22 were rejected under 35 U.S.C. § 112, second paragraph, as depending from claim 20. However, with the above amendment and explanation, the Examiner's rejection of claim 20 should be withdrawn, and the rejection to claims 21 and 22 should therefore be withdrawn as well.

Claim 24: The Examiner rejected claim 24 as being confusing "because it recites a step (f) while its parent claim (claim 1) does not recite any earlier steps, namely (a) - (e)." Applicant disagrees that such a naming of steps is confusing. Method steps recited in claims 24-27 are named (f), (g) and (h) to differentiate these-steps from steps (a) – (e) recited in claims 20-22. Naming the steps (f) (g) and (h) does not necessarily imply that previous steps are required, or that the steps (a)-(e) in claims 20-22 are implicitly included in claim 24. However, in the interest of reducing issues on appeal, Applicants have amended claims 24-27 to replace (f)-(h) with (a)-(c), respectively. Such amendment, if accepted, would remove the Examiner's indefiniteness rejection of claim 24 and of claims 25-29, which depend directly or indirectly from claim 24.

Claim 25: The Examiner rejected claim 25, asserting that "[i]t is unclear what is meant by 'at least as many neighboring clique as any neighboring clique." A method of assigning time slots to cliques to minimize the number of slots required to accommodate communications between nodes is described beginning at page 10 line 16. According to the method (page 10 lines 19-22):

The idea is to first assign slots to cliques that have an isolated node on the edges of the network, then to assign slots to the most richly connected cliques in the interior, and then to assign slots to cliques that bridge these.

(Italics added for emphasis) The specification describes how this idea is implemented by outlining six ordered steps or conditions that the slot assignment method goes through. The second of the ordered conditions (page 10 lines 25-26) is that a clique is assigned a time slot if it has "more or an equal amount of neighboring cliques as any neighboring clique." In other words, a clique is assigned a time slot at this point in the assignment hierarchy if the clique is "richly connected" to other cliques in the interior of the network.

Applicants have amended claim 25 to recite that "cliques having as many neighboring cliques as any neighboring clique are next assigned time slots." The amendment changes the word "clique" to the italicized "cliques," thereby clarifying the step. As it is now clear what is meant by this claim, the Examiner's rejection thereto (and to claims 26-29, which depend therefrom) should be withdrawn.

Applicants acknowledge that this amendment is presented after a final rejection has been issued in this application, and that entry of the amendment is therefore discretionary. However, applicants respectfully request this amendment be entered because (a) the amendment materially reduces issues on appeal, (b) it makes amendments to claims rejected only once in the prosecution of the application, (c) no new matter is included in the application, and (d) no new search is required when the amendment is entered. By entering this amendment, the remaining rejections to the claims would be to claims 1, 2 and 23, and the rejections to these claims are discussed in applicants' appeal brief.

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Accordingly, with the entry of this amendment and upon consideration of the remarks and arguments contained herein and in the concurrently filed Appeal Brief, all pending claims are now allowable, and a Notice of Allowance is earnestly solicited. The Examiner is requested to contact the undersigned attorney if further issues remain in the prosecution of this application.

Respectfully submitted,

Rockwell Collins Intellectual Property Department 400 Collins Road, NE M/S 124–323 Cedar Rapids, IA 52498

Telephone No.: (319) 295-1184 Facsimile No.: (319) 295-8777

Customer No.: 26383

Nathan O. Jensen Attorney for Applicant Reg. No. 41,460

MΔI

Shown below are amendments to the claims, in which bracketed material has been deleted and underlined material has been added.

IN THE CLAIMS:

Claims 20 and 24-27 have been amended as follows:

- 20. (Once Amended) The method of claim 1, wherein the assigning step for each node comprises:
 - (a) identifying one of the nodes;
- (b) identifying a first group of nodes, said first group of nodes comprising any nodes that directly communicate with [said one of] the [nodes] <u>node</u>- identified in step (a);
- (c) for each node in the first group of nodes, identifying a second group of nodes, said second group of nodes comprising any nodes that directly communicate with said each node in the first group of nodes; and
- (d) including within a clique with [said one of] the [nodes] node identified in step (a)
 - a node in said first group of nodes, and
 - a node in said second group of nodes that communicates directly with [said one of] the [nodes] node identified in step (a) and with said node in said first group of nodes.
- 24. (Once Amended) The method of claim 23, wherein the step of choosing time slots comprises assigning time slots to the cliques according to a hierarchy wherein:

- ([f]a) cliques having a node that is a member of only one clique are first assigned time slots.
 - 25. (Once Amended) The method of claim 24, wherein:
- ([g]b) cliques having at least as many neighboring [clique] cliques as any neighboring clique are next assigned time slots.
 - 26. (Once Amended) The method of claim 25, wherein:
- ([h]c) cliques having two or more neighbors that were assigned time slots in steps ([f]a) and ([g]b) are next assigned time slots.
- 27. (Once Amended) The method of claim 26, wherein cliques having two or more neighbors that were assigned time slots in step ([f]a) are next assigned time slots.

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